



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schloesser, et al. Docket No.: INF-135
 Filed: 2/13/2004 Examiner: Andy Huynh
 Serial No.: 10/777,128 Art Unit: 2818
 Title: Architecture for Vertical Transistor Cells and Transistor-Controlled Memory Cells

Mail Stop Amendment
 Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

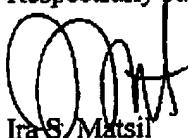
Dear Sir:

Applicant wishes to bring to the attention of the U.S. Patent and Trademark Office the information noted on the enclosed PTO Form PTO/SB/08A, which may be considered material to the examination of the above-identified application. A copy of the U.S. Patent cited is not being submitted.

This Information Disclosure Statement is submitted under 37 C.F.R. §1.97(d) together with a Statement under 37 C.F.R. §1.97(e), and a \$180.00 fee set forth in 37 C.F.R. §1.17(p) as this disclosure is being filed after notice of allowance, but before payment of the issue fee.

Please charge the required fee of \$180.00 and any additional amount, or credit any overpayment to Deposit Acct. No 50-1065 of the below mentioned firm.

12/21/2005 LWONDIM1 00000099 501065 10777128
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Respectfully submitted,

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 Serial No: 10/777,128 Art Unit: 2818
 Date Filed: February 13, 2004
 Title: Architecture for Vertical Transistor Cells and Transistor-Controlled Memory Cells

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being transmitted by facsimile to the U.S. Patent and Trademark Office at 571-273-2885 on the date shown above:

- Certification of Facsimile Transmission (1 page)
- Information Disclosure Statement (1 original and 1 copy)
- Statement Under 37 CFR 1.97(e) (1 page)
- Form PTO/SB/08A (1 page), with 1 reference cited

Respectfully submitted,

AnneMarie James
Legal Assistant

Confirmation Respectfully Requested

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PAGE 04/05

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Inventor(s): Schloesser, et al.

Serial No.: 10/777,128

Filing Date: 2/13/2004

Title: Architecture for Vertical Transistor Cells and Transistor-Controlled Memory Cells

Attorney Docket No.: INF-135

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Examiner:

Andy Huynh

Group Art Unit:

2818

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

(X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or

() No item of information contained in the Information Disclosure Statement

- was cited in a communication from a foreign patent office in a counterpart foreign application, and
- to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,

By _____

Ira S. Matsil

Attorney/Agent for Applicant

Reg. No.: 35,272

I hereby certify that this Correspondence is being deposited with the United States Postal service with sufficient postage for first class mail in an envelope address to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or the correspondence is being facsimile transmitted to the USPTO, on the date indicated below.

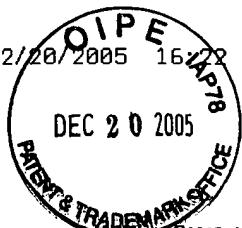
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PAGE 05/05

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Substitute for form 1448A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/777,128
(Use as many sheets as necessary)				Filing Date	2/13/2004
				First Named Inventor	Schloesser, et al.
				Art Unit	2818
				Examiner Name	Andy Huym
Sheet	1	of	1	Attorney Docket Number	INF-135

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See *Kinds Codes of USPTO Patent Documents* at www.uspto.gov or MPEP 801.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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